

 \boxtimes

*Total of 1 forms are submitted.

PTO/SB/33 (07-05)

Approved for use through xx/xx/200x. OMB 0651-00xx U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number (Optional) CISCO-3840 (032590-141)			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on 08/29/2006	Application Number 09/835,164		Filed 04/13/2001		
	First Named Inventor Shujin Zhang				
Signature		Art Unit 2181		Examiner Patel, Niketa I.	
Name Julie Arango	2101				
Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.					
This request is being filed with a notice of appeal.					
The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided.					
I am the applicant/inventor.	16		Signatu	ure	
assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	Khaled Shami Typed or printed name				
attorney or agent of record. Registration number	408-292-5800 Telephone number				
attorney or agent acting under 37 CFR 1.34. Registration number 38,745	08/29/	2006	Date		

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Shujin Zhang et al.

CONFIRMATION NO.: 4870

SERIAL NO.:

09/835,164

FILING DATE:

04/13/2001

TITLE:

DYNAMIC HOST CONFIGURATION PROTOCOL PROXY

EXAMINER:

Patel, Niketa I.

ART UNIT:

2181

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on the date printed below:

Date: 08/29/2006

08/29/2006

Name:

Julie Arango

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PRE-APPEAL BRIEF REQUEST FOR REVIEW

Sir:

Applicant respectfully requests a formal review of the legal and factual basis of the rejections in the above referenced application in view of the following remarks:

Claims 67-94 were rejected under 35 U.S.C. 101 as allegedly being directed to non-statutory subject matter. The Office Actions cites the discussion relating to data structures in the Interim Guidelines for Examination of Patent Applications (OG Notices: November 2005) in support of this rejection. Applicants respectfully traverse.

First, claims 67-94 are not directed to data structures. As the Interim Guidelines explain, a data structure is "a physical or logical relationship among data elements, designed to support specific data manipulation functions." In contrast, Claim 67 for example recites, inter alia, a host identifier retrieving module that is configured to retrieve a host identifier from a network address allocation request. It also recites a relay agent address field proxy address insertion module configured to insert a proxy address in a relay agent address field in the request. As Applicants previously explained, these modules may be hardware or software components (or firmware components). In any case they are not data modules pursuant to the above definition and pursuant to a conventional understanding of that term. Moreover, even if, arguendo, they were data structures, this would not be determinative as the inquiry would not stop there. The next question that would need to be answered is whether such a data structure falls within the category of descriptive material per se, and only if the response to that inquiry is in the affirmative would it be deemed to be non-statutory subject matter. (A similar line of inquiry would also need to pursued to determine if the claimed material is a computer listing per se, and Applicants respectfully submit that the same conclusion reached hereafter would follow since the claims recite functionality, which extends beyond mere listing or description). In this case the claims recite structural and functional interrelationships with the other aspects of the invention, removing them from the purview of non-statutory descriptive per se material. In particular, in the example of Claim 67, the host identifier retrieving module is configured to retrieve a host identifier from the request. This is a recited function (retrieving) performed by a component (host identifier retrieving module). Also in claim 67, a request transmission module coupled to a relay agent field proxy address insertion module is configured to transmit the request to an address allocation device to issue or renew a host address if the host associated with the host identifier is in a state of authentication. Again a recited function (transmit) is performed by a first component (request transmission module) in relationship to a second component (address allocation device).

Claim 74 is also not directed to a data structure and in any case recites structural and functional interrelationships that remove it from the purview of non-statutory descriptive <u>per se</u>

2

Please charge any additional required fees, or credit any overpayment to our deposit account no. 50-1698.

Respectfully submitted, THELEN REID & PRIEST, L.L.P.

Dated: <u>08/29/2006</u>

Khaled Shami Reg. No. 38,745

Thelen Reid & Priest LLP P.O. Box 640640 San Jose, CA 95164-0640 Tel. (408) 282-1855 Fax. (408) 287-8040